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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as  
therein are being deposited with the United States Postal Service  
on December 4, 2001 in an envelope as "Express Mail Post Office to  
Addressee" service under 37 CFR §1.10, Mailing Label Number  
EL896843696US, addressed to the Commissioner for Patents, Washington,  
DC 20231.

Attorney Docket No.: LAM2P232A

First Named Inventor: Thomas Walsh

Kay Harlow

*Kay Harlow*



UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))  
(Continuation, Divisional or Continuation-in-part application)

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventor:  
Thomas Walsh

For: PARALLEL ALIGNMENT METHOD AND APPARATUS FOR CHEMICAL MECHANICAL  
POLISHING

This application is a ☒ Continuation ☐ Divisional ☐ Continuation-in-part  
of prior Application No.: 09/038,875, from which priority under 35 U.S.C. §120 is claimed.

Application Elements:

- ☒ 31 Pages of Specification, Claims and Abstract  
☒ 08 Sheets of **Formal** Drawings  
☒ 02 Pages Declaration  
☐ Newly executed (original or copy)  
☒ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).  
The entire disclosure of the prior application from which a copy of the declaration is  
herein supplied is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.  
☐ Deletion of inventors Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ Power of Attorney

- ☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations  
☐ Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)  
☒ Return Receipt Postcard  
☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
☒ Other: Assignment Recordation noting Ownership by Lam Research Corporation.

Claim For Foreign Priority

- ☐ Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_ is claimed under 35 U.S.C. § 119.  
☐ The certified copy has been filed in prior application U.S. Application No. \_\_\_\_\_  
☐ The certified copy will follow.

Extension of Time for Prior Pending Application

- ☒ A Petition for Extension of Time is being concurrently filed in the prior pending application. A copy of the Petition for Extension of Time is attached.

Amendments

- ☒ Amend the specification by inserting before the first line the sentence: "This is a  
☐ Continuation ☐ Continuation-in-part ☐ Divisional  
 application of copending prior  
☐ Application No. \_\_\_\_\_ filed on \_\_\_\_\_,  
☐ International Application \_\_\_\_\_ filed on \_\_\_\_\_ which  
 designated the United States, the disclosure of which is incorporated  
 herein by reference."

- ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application  
 before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE			\$370	\$	OR	\$740	\$740.00
TOTAL CLAIMS	22 -20 = 02		x09 =	\$	OR	x18 =	\$ 36.00
INDEP CLAIMS	04 -03 = 01		x42 =	\$	OR	x84 =	\$ 84.00
[ ] Multiple Dependent Claim Presented			\$140 =	\$	OR	\$280 =	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	<b>\$860.00</b>

- ☒ Check No. 6140 in the amount of \$860.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P232A).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.


General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. LAM2P232A).

☒ Please do not send correspondence to address listed on Declaration. Please send correspondence to the following address:

MARTINE & PENILLA, LLP  
710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
**Customer Number 25920**

Date: December 4, 2001

  
\_\_\_\_\_  
Albert S. Penilla, Esq.  
Registration No. 39,487